

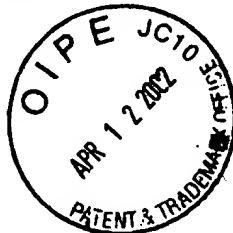


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,863	10/15/2001	Jed Arkin	BKE-002CP

021323  
TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110



CONFIRMATION NO. 4296

## FORMALITIES LETTER



\*OC000000007035394\*

Date Mailed: 11/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$1566.
  - \$1314 for 146 total claims over 20.
  - \$252 for 6 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2001.

04/16/2002 AQSMAN1 00000075 09977863

01 FC:201	370.00 OP
02 FC:202	252.00 OP
03 FC:203	1314.00 OP
04 FC:205	65.00 OP

A copy of this notice **MUST** be returned with the reply.

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

# TRANSMITTAL FORM



Application Serial Number	09/977,863
Filing Date	October 15, 2001
First Named Inventor	Arkin
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	BKE-002CP
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

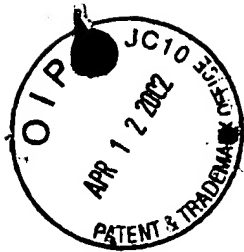
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input checked="" type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations (Refs. A1-A19) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
 Date: April 4, 2002  
 Reg. No. 45,054  
 Tel. No.: (617) 248-7376  
 Fax No.: (617) 248-7100  
 Jamie H. Rose  
 Attorney for Applicant(s)  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110



Sy

MP

PATENT  
Attorney Docket No. BKE-002CP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Arkin et al.  
SERIAL NO.: 09/977,863 GROUP NO.: 2152  
FILING DATE: October 15, 2001 EXAMINER: Not Yet Assigned  
TITLE: METHOD AND SYSTEM FOR PREVENTING THE  
INFRINGEMENT OF INTELLECTUAL PROPERTY RIGHTS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 4th day of April, 2002.

  
Beverly Horvitz

Commissioner for Patents  
Washington, D.C. 20231

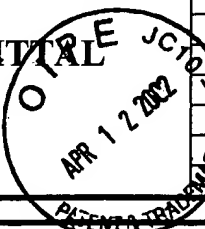
Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page)
2. Fee Transmittal (1 page)
3. Petition for Extension of Time (1 page)
4. Check in the amount of \$2,461.00
5. Part 2-Copy of Notice to File Missing Parts  
of Nonprovisional Application (1 page)
6. Declaration and Power of Attorney (3 pages)
7. Statement Regarding Small Entity Status (1 page)
8. Return Receipt Postcard

ROSEJH\7991\4.2340557\_1

# **FEE TRANSMITTAL** FY 2002



Complete Known

Application Serial Number	09/977,863
Filing Date	October 15, 2001
First Named Inventor	Arkin
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	BKE-002CP

## **METHOD OF PAYMENT**

- ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
- ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
- ☒ Applicant claims small entity status.

## **FEE CALCULATION**

### **1. FILING FEE**

#### **Large Entity**

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740.00
330	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims 166 - 20 = 146 x \$ 18.00 = 2,628.00

Independent Claims 9 - 3 = 6 x \$ 84.00 = 504.00

☐ Multiple Dependent Claim(s), if any \$280.00 = 0

TOTAL: 3,872.00

SMALL ENTITY DISCOUNT: 1,936.00

SUBTOTAL (1) (\$) 1,936.00

### **2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 84.00 =

☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	460.00
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 525.00

SUBTOTAL (1) 1,936.00

SUBTOTAL (2) 0

SUBTOTAL (3) 525.00

TOTAL (\$) 2,461.00

## **CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower-125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## **SIGNATURE BLOCK**

Respectfully submitted,

Date: April 4, 2002  
Reg. No.: 45,054  
Tel. No.: (617) 248-7376  
Fax No.: (617) 248-7100

Amie H. Rose  
Attorney for the Applicants  
Testa, Hurwitz & Thibault, LLP  
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Boston, MA 02110